

Government of Nepal
Ministry of Physical Infrastructure and Transport
Department of Roads
Development Cooperation Implementation Division
STRATEGIC ROAD CONNECTIVITY AND TRADE IMPROVEMENT PROJECT (SRCTIP)
Jwagal, Lalitpur
AMENDMENT ON PREQUALIFICATION DOCUMENTS
Contract No. SRCTIP-DOR-W-NNM-ICB-1
AMENDMENT No.-1

Improvement of Nagdhunga-Naubise Section of the Tribhuvan Highway, Invitation for Prequalification Notice No.: SRCTIP/4/2077-078

As per the provision of Instruction to Applicants ITA 8 (and Prequalification Data Sheet PDS) Amendment of Prequalification Document, Amendment No.-1 has been issued as per following:

1. The Provision in Section II: Prequalification Data Sheet PDS 14.2 as

The source for determining exchange rates is Nepal Rastra Bank, www.nrb.org.np.

has been amended as

The source for determining exchange rates is Nepal Rastra Bank, www.nrb.org.np.

For currencies not publicly available in the source identified in the PDS, exchange rates publicly available from <http://www.xe.com>, <http://www1.oanda.com/currency/converter> etc. **which provide such historical exchange rates for all currencies** may be used, subject to correction by the Employer of any error, if required, in accordance with this Sub-Clause.

2. The Provision in Section III: Qualification Criteria and Requirements A. Qualification Criteria and requirements for contract No. SRCTIP-DOR-W-NNM-ICB-1, 4.2 (c) Specific Experience in managing ES aspects as

For the contracts in 4.2 (a) above and/or any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or Subcontractor between **1st January 2015** and Application submission deadline, experience in managing ES risks and impacts in the following aspects: developed and substantially implemented (50% of Works) and/or completed ESMP (C-ESMP) on road construction/improvement contracts of contract value USD 10 million or more.

has been amended as

For the contracts in 4.2 (a) above and/or any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or Subcontractor between **1st January 2015** and Application submission deadline, experience in managing ES risks and impacts in the following aspects: developed and substantially implemented (50% of Works) and/or completed ESMP (C-ESMP) on road construction/improvement contracts of contract value **USD 2.5 million** or more. **For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.**

3. The Provision in Section III: Qualification Criteria and Requirements B. Aggregated Qualification Criteria and requirements for contract No. SRCTIP-DOR-W-NNM-ICB-1 and SRCTIP-DOR-W-NNM-ICB-2, 4.2 (c) Specific Experience in managing ES aspects as

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has been amended as

For the contracts in 4.2 (a) above and/or any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or Subcontractor between **1st January 2015** and Application submission deadline, experience in managing ES risks and impacts in the following aspects: developed and substantially implemented (50% of Works) and/or completed ESMP (C-ESMP) on road construction/improvement contracts of contract value **USD 12.5 million** or more. **For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.**

4. The Provision in Section III: Qualification Criteria and Requirements Specific Construction and Contract Management Experience 4.2 (b) as

Note:

When there is no evidence of specific period of execution in a contract, Asphalt concrete (AC) including open, medium and dense graded bituminous macadam executed in the contract shall be considered completed over the entire contract period and average annual quantity of the AC completed shall be calculated accordingly.

When evidence of period of execution of such activity is produced, the quantity of the AC executed in one year will be determined accordingly. Contract execution period less than one year shall also be considered as one year. The quantity of concrete will also be evaluated in similar manner.

Rate of production of any key activity can be demonstrated in one or more contracts combined if executed during any period of the Year between **1st January 2015** and application submission deadline. The rate of production shall be the annual production rate for the key construction activity (or activities). The rate of production shall be evaluated taking into account of the total production rate for all contracts at that particular Year between **1st January 2015** and application submission deadline.

has been amended as

Note:

When there is no evidence of specific period of execution in a contract, Asphalt concrete (AC) including open, medium and dense graded bituminous macadam executed in the contract shall be considered completed over the entire contract period and average annual quantity of the AC completed shall be calculated accordingly.

When evidence of period of execution of such activity is produced, the quantity of the AC executed in one year will be determined accordingly. Contract execution period less than one year shall also be

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considered as one year. The quantity of concrete will also be evaluated in similar manner.

Rate of production of any key activity can be demonstrated in one or more contracts combined if executed during any period of the Year between **1st January 2015** and application submission deadline. The rate of production shall be the annual production rate (**in any consecutive 12 months period in the specified period shall be considered**) for the key construction activity (or activities). The rate of production shall be evaluated taking into account of the total production rate for all contracts at that particular Year between **1st January 2015** and application submission deadline.

5. The provision in the Invitation For Prequalification (IFP No.: SRCTIP/4/2077-78), paragraph 5 as
Name of Office: Strategic Road Connectivity and Trade Improvement Project, Jwagal, Lalitpur
Swift Code: RBBANPKH

has been amended as

Name of Office: Road Sector Development Project
Swift Code: RBBANPKA

Note:

Please refer to the Amendment to the Invitation for Prequalification Notice No. SRCTIP/4/2077-78 dated December 8, 2020 in the Kathmandu Post, extending the Application submission deadline to 12:00 hrs on December 30, 2020. Accordingly, the date and time in Section II: Prequalification Data Sheet PDS have been amended as under:

- a. The provision in the Invitation For Prequalification (IFP No.: SRCTIP/4/2077-78), paragraph 7 as
Applications for prequalification should be submitted in clearly marked envelopes and delivered to the address below by 1200 hours on **December 9, 2020**. Late applications shall be rejected.

has been amended as

Applications for prequalification should be submitted in clearly marked envelopes and delivered to the address below by 1200 hours on **December 30, 2020**. Late applications shall be rejected.

- b. The Provision in Section II: Prequalification Data Sheet PDS 17.1 as

The Deadline for Application submission is:

Date: December 9, 2020

Time: 12:00 hours

For Application submission purpose only, the Employer's address is:

Attention: Project Director, Strategic Road Connectivity and Trade Improvement Project, Jwagal, Lalitpur

City: Lalitpur

Country: Nepal

Telephone: +977-1-5541686

Facsimile number: Email address: dorfcdb@dor.gov.np

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has been amended as

The Deadline for Application submission is:

Date: December 30, 2020

Time: 12:00 hours

For Application submission purpose only, the Employer's address is:

Attention: Project Director, Strategic Road Connectivity and Trade Improvement Project, Jwagal, Lalitpur

City: Lalitpur

Country: Nepal

Telephone: +977-1-5541686

Email address: dorfc@dor.gov.np

- c. The Provision in Section II: Prequalification Data Sheet PDS 19.1 as

The opening of the Applications shall be at:

Date: December 9, 2020

Time: 14:00 hours

Place: Development Cooperation Implementation Division, Jwagal, Lalitpur, Nepal

has been amended as

The opening of the Applications shall be at:

Date: **December 30, 2020**

Time: **14:00 hours**

Place: **Development Cooperation Implementation Division, Jwagal, Lalitpur, Nepal**

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Procurement of Works for Improvement of Nagdhunga-Naubise Section of the Tribhuvan Highway and Improvement of Naubise-Malekhu Section of the Prithvi Highway, Invitation for Prequalification Notice No.: SRCTIP/4/2077-078

Contract Identification No. **SRCTIP-DOR-W-NNM-ICB-1 & 2**

As per the provision of Instruction to Applicants ITB 7 (and Prequalification Data Sheet PDS) Clarification of Pre Application Documents and Pre Application Meeting Clarification No.-1 has been issued in the table presented hereunder:

No.	Document	Chapter/ Section	Subject/ title/Item	Queries	Clarifications
1	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements and Section VI Additional Document Section in E-Procurement Section		As shown in the figures extracted from the Procurement Document from e-GP of Public Procurement Monitoring Office (PPMO) website, there are inconsistencies of requirements between Section III and Section VI Additional Documents, where the qualification criteria outlined in Section III include the requirements for Personnel and Equipment and specify that detailed information is listed in Section VI. Similarly, the Application Forms in Section III and Section VI are also inconsistent with each other.	Please refer the document from page 64 onwards having Office seal and Project Director's signature. Ignore pages 1-63 of the Document. This is not part of the PQ Document for this procurement.
2	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements and Section VI Additional Document Section in E-Procurement Section		If the documents only in Section VI should be referred to, please clarify how we should deal with the personnel and equipment information in the TECHNICAL DETAILS part of the PPMO website, as there is no requirement for personnel and equipment in Section VI.	There is no requirement for Personnel and Equipment in this Prequalification Procedure. Applicants are requested to refer only the document available in Section VI, Additional Document Section in e-GP portal of PPMO having Project Director's sign and Office seal. Ignore pages 1-63 of the Document. This is not part of the PQ Document for this procurement.

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No.	Document	Chapter/ Section	Subject/ title/Item	Queries	Clarifications
3	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	Specific Construction and Contract Management Experience 4.2 (b)	"When evidence of period of execution of such activity is produced, the quantity of the AC executed in one year will be determined accordingly. Contract execution period less than one year shall also be considered as one year. The quantity of Concrete will also be evaluated in similar manner." Query: As stated above and as per our understanding, the total contract execution period less than one year shall be considered as one year for the calculation of the rate of production also. If the specific time of execution of such activity is more than one year, the actual time will be used for the rate of production calculation. Please kindly conform that.	Yes, contract execution period less than one year will be considered as one year for determining rate of production.
				Query: In case that such required key activity execution period is specified and evidenced, our understanding is that the quantity by one Contract or accumulated quantities by several contracts shall meet the required quantity at any 12 months between 1st Jan, 2015 to the deadline of submission. Or the calculation shall be based on Nepal Fiscal Year, please kindly conform that.	Yes, the rate of production of the key activity can be demonstrated in one or more contracts combined as detailed in paragraph 3 of the Note for this subject.
				Query: The quantity of such activity is calculated in any 12 month period or following the Nepal Fiscal Year, during the execution period, while the 12 month splits into two Nepal Fiscal Years (i.e. from September 2017 to September 2018), will it be accepted if we put the quantity of such activity in either of the two Nepal Fiscal Years (i.e. in 2017 or in 2018) following the forms as per Form EXP - 4.2(b) Construction Experience in Key Activities under Section IV - Bidding Forms. Please kindly conform that.	The provision in Section III Qualification Criteria and Requirements, Specific Construction and Contract Management Experience 4.2 (b) remains valid. Rate of annual production in any consecutive 12 months period in the specified period is acceptable.

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No.	Document	Chapter/ Section	Subject/ title/Item	Queries	Clarifications
				Note: as per 4.2(b) in Section III - Qualification Criteria and Requirements of the SPD Prequalification Document Works (February 2020) form the World Bank, for the rate of production, the rate of annual production in any 12 month period in the specified period shall be accepted.	
4	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	Specific Experience in managing ES Aspects 4.2 (c)	"For the contracts in 4.2 (a) above and /or any other contracts (substantially completed and under implementation) as prime contractor, joint venture member, or Subcontractor between 1st January 2015 and Application submission deadline, experience in managing ES risks and impacts in the following aspects: developed and substantially implemented (50% of works) and/or completed ESMP (C-ESMP) on road construction/improvement contracts of contract value USD 10 Million or more." Query: As per our understanding, the road construction / improvement contracts with a contract value of USD 10 Million or more and including the ES items will meet the above said requirement instead of the quoted value of ES items in such contracts should be 10 Million or more. Please kindly clarify.	Refer Section III Qualification Criteria and Requirements, Specific Experience in managing ES Aspects 4.2 (c). The Contract value mentioned in PQ document is the Contract value of works not the value of ES works.
5	Part I, Prequalification Procedure	Section II - Prequalification Data Sheet (PDS)	ITA 15.2	"In addition to the original, the number of copies to be submitted with the Application is: Two" Query: According to ITA 17.1 in Section II - Prequalification Data Sheet (PDS), "Applicants shall have the option of submitting their Applications electronically." In case that the Applicants choose E-Bidding, how to define the "ORIGINAL" and "COPY", as per our understanding, we just need to upload one set of documents to the designated server under PPMO,	The provision in Section II Prequalification Data Sheet (PDS) ITA Clause 15.2 is not applicable in case of e-application.

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No.	Document	Chapter/ Section	Subject/ title/Item	Queries	Clarifications
				Please kindly clarify.	
6	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	3.1 Financial Capabilities	"(ii) The audited balance sheets or, if not required by the laws of the Applicant's country, other financial statements acceptable to the Employer, for the last five years starting from 1st January 2014 shall be submitted and must demonstrate the current soundness of the Applicant's Financial position and indicate its prospective long - term profitability." Query: Please kindly clarify which financial indicators from the balance sheet shall be used to demonstrate the current soundness of the Applicant's financial position and indicate its position and indicate its prospective long - term profitability. As per our understanding, the above criteria is only for "Net Worth" indicator, once the "net Worth" is positive, then the concerned bidders shall be deemed to meet the above said criteria, please kindly clarify and conform that.	The provision in Section III Qualification Criteria and Requirements, 3.1 Financial Capabilities, (ii) remains valid. The applicants must submit at least last 5 years audited balance sheets or other financial statements acceptable to the Employer.
7	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	Specific Construction and Contract Management Experience 4.2 (b)	Note: "When there is no evidence of specific period of execution in a contract, Asphalt Concrete (AC) including open, medium and dense graded bituminous macadam executed in the contract shall be considered completed over the entire contract period and average annual quantity of the AC completed shall be calculated accordingly." As stated above and as per our understanding, the average annual quantity will be calculated based on the entire contract period. In case that the entire contract period after calculation has the last year less than one year (i.e. 5.5 years), can we take the actual years completed (i.e. 5.5 years) to calculate or the last year which	The provision in Section III Qualification Criteria and Requirements, Specific Construction and Contract Management Experience 4.2 (b) "Note" remains valid. Please refer to clarifications to similar query 3 (i) and (ii). For Contract period more than one year, actual Contract execution period (5.5 years in this case) will be considered for determining rate of production.

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				is less than one year shall also be considered as one year (i.e. 5.5 years has to be taken as 6 years), please kindly clarify.	
8	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	3.2 Average Annual Construction Turnover	Queries: (i) If we only submit or company Audit Report will it be applicable or not? (ii) Or we also need to submit the Bank Statement of our company?	The provision in Section III Qualification Criteria and Requirements, 3.2 Average Annual Construction Turnover remains valid. Bank Statement is not required for this criteria.
9	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	Specific Construction and Contract Management Experience 4.2 (a)	Query: (i) We have one road construction project that contract value more than USD 70 Million, In the same contract, there are 4 numbers of bridges and 2 bridges span 16 meter and 2 bridges less than 16 meter. Is it applicable or not?	The provision in Section III Qualification Criteria and Requirements, Specific Construction and Contract Management Experience 4.2 (a) remains valid. The said (referred) project meets the requirement of this criteria.
				Query: (ii) We have one road construction project that contract value more than USD 70 Million and we also have done 2 bridges projects which span more than 16 meter in 2 contracts. It means 3 contracts totally, one road construction contract and two bridge contracts. Is it applicable for this project too?	The provision in Section III Qualification Criteria and Requirements, Specific Construction and Contract Management Experience 4.2 (a) remains valid. Any experience of construction of 2 numbers of bridges 16 m span in the same contract or in different contracts meet the requirement stipulated in 4.2 (a).
10	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	Specific Construction and Contract Management	"The Applicant should have completed at least 29,500 cubic meter of Asphalt Concrete works and at least 20,700 cubic meter of Cement Concrete works within any one year form	The provision in Section III Qualification Criteria and Requirements, Specific Construction and Contract Management Experience 4.2 (b) remains valid. The

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No.	Document	Chapter/ Section	Subject/ title/Item	Queries	Clarifications
			Experience 4.2 (b)	1st January 2015 to application submission deadline." Query: Can we provide Bill of Quantities, Interim Payment Certificates and other similar supporting documents to verify the key work activities volume?	evidences/supporting documents such as certified payment certificate, copies of experience certificates, etc. are acceptable for the verification of key activities.
11	Part I, Prequalification Procedure	Section II - Prequalification Data Sheet (PDS)	ITA 14.2	In ITA 14.2, the source for determining exchange rates is Nepal Rastra Bank, www.nrb.org.np Query: If one Country's currency can't be found in this website (For example, Djibouti franc), Shall we use the exchange rate of this currency which be published in World Bank's website as a reference?	For currencies not publicly available in the source identified in the PDS, exchange rates publicly available from http://www.xe.com , http://www1.oanda.com/currency/converter etc. which provide such historical exchange rates for all currencies may be used, subject to correction by the Employer of any error, if required, in accordance with ITA Sub-clause 14.2.
12	Part I, Prequalification Procedure	Section IV - Bidding Form	ELI-1.2 Applicant's JV information Form	ELI-1.2 Applicant's JV information Form "2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership." Please can you more specific on Organizational Chart, List of Board of Directors, and Beneficial ownership.	The provision in Section IV - Bidding Form ELI-1.2 Applicant's JV Information Form 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership, which are self explanatory. For the purposes of this Form, a Beneficial Owner of a Bidder is any natural person who ultimately owns or controls the Bidder by meeting one or more of the following conditions: • directly or indirectly holding 25% or more of the shares • directly or indirectly holding 25% or more of the voting rights

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					directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder
13	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	3.1 Financial Capabilities	"(ii) The audited balance sheets or, if not required by the laws of the Applicant's country, other financial statements acceptable to the Employer, for the last five years starting from 1st January 2014 shall be submitted and must demonstrate the current soundness of the Applicant's Financial position and indicate its prospective long - term profitability." As mention in above from January 01, 2014 to present date, period is almost more than six years. Therefore, please clarify the exact period of applicants need to provide their documents (audited balance sheets other financial statements), last 5 years or 6 years?	The provision in Section III Qualification Criteria and Requirements, 3.1 Financial Capabilities, (ii) remains valid. The applicants must submit at least last 5 years audited balance sheets or other financial statements acceptable to the Employer.
14	Part I, Prequalification Procedure	Section I - Instruction to Applicants (ITA)	ITA 14.2	"(a) For Construction turnover or financial data required for each year - Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted)." "(b) Value of single contract - Exchange rate prevailing on the date of the contract." Query: While calculating turnover amount and work done certificate, can such amount be adjusted to the present value by	The amount shall not be adjusted for price indices.

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				applying wholesale price index of Nepal Rastra Bank?	
15	Part I, Prequalification Procedure	Section I - Instruction to Applicants (ITA)	ITA 11.	Query: Do foreign Contractor need to get attested all the documents from the respective embassy for the qualification of the contract?	The provision in Section I - Instruction to Applicants (ITA) 11 remains valid. It is not mandatory to get attested documents from the respective embassy.
16	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	Specific Construction and Contract Management Experience 4.2 (a)	Query: For the qualification of 4.2 (a) specific construction and contract management experience i.e. value of certificate for contracts under which the Applicant participated as a joint venture member is applicable only on Applicant's share to meet specific construction and contract management experience requirement? For e.g. If A and B joint venture have share of 50% each of the contract valuing 10 million. What is the value of certificate for Contractor A?	The provision in Section III Qualification Criteria and Requirements, Specific Construction and Contract Management Experience 4.2 (a) " footnote 6 & 14 " remains valid. That is only the Applicant's share value shall be considered.
17	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	Specific Experience in managing ES Aspects 4.2 (c)	Query: For qualification of 4.2 (c) Specific Experience in managing ES aspects i.e. value of certificate for contracts under which the Applicant participated as a joint venture member is applicable only on Applicant's share to meet Specific Experience in managing ES aspects?	For contracts under which the Applicant participated as a joint venture member, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.
18	Part I, Prequalification Procedure	Section III Qualification Criteria and Requirements	Specific Construction and Contract Management Experience 4.2 (b)	Query: Can rate of production for asphalt and concrete be combined of two different applicant from different contracts which is bidding for same contract as a joint venture?	The provision in Section III Qualification Criteria and Requirements, Specific Construction and Contract Management Experience 4.2 (b) " footnote 8 & 16 " remains valid, which are Self explanatory